



RSFINANCE

ANTI MONEY LAUNDERING (AML)

RS Finance | Built for Traders

RS Finance Limited (Company No. 27122 BC 2024) ("the Company") is fully committed to maintaining and implementing the highest standards of Anti-Money Laundering (AML) and Counter-Terrorist Financing (CFT) compliance across all of its operations. The Company recognizes its legal and ethical responsibility to prevent its platforms, services, and financial products from being used to facilitate money laundering, terrorist financing, or any other financial crime.

The Company strictly adheres to the Proceeds of Crime Act (Cap. 181) and the Anti-Money Laundering and Terrorist Financing Regulations of Saint Vincent and the Grenadines, as well as the recommendations of the Financial Action Task Force (FATF). These frameworks guide the Company in establishing effective policies, procedures, and internal controls designed to detect, deter, and report suspicious activities in a timely manner.

1. Legal and Regulatory Framework

The Company complies with the following laws and regulations applicable in Saint Vincent and the Grenadines:

- Proceeds of Crime Act (Cap. 181)
- Anti-Money Laundering and Terrorist Financing Regulations
- Financial Intelligence Unit Act of Saint Vincent and the Grenadines
- Any amendments or replacement legislation as enacted from time to time

The Company also adheres to the recommendations of the Financial Action Task Force (FATF) as adopted and applied within Saint Vincent and the Grenadines.

2. Client Identification and Verification

The Company is required to establish and verify the identity of all Clients before opening an account or providing any services. You agree to provide true, accurate, current, and complete information and documentation, including but not limited to identification documents, proof of address, and any other information the Company may reasonably request. The Company reserves the right to use independent third parties or databases to verify the information provided.

3. Ongoing Monitoring

The Company shall monitor all transactions and account activities on a risk-sensitive basis to ensure compliance with AML/CFT obligations. This includes transaction monitoring, ongoing due diligence, and enhanced due diligence for higher-risk Clients or activities. The Company may request additional documentation or explanations regarding transactions or sources of funds, and you agree to cooperate fully with such requests.

4. Prohibited Activities

You hereby represent and warrant that you will not use the Trading Platform or Services for any unlawful, fraudulent, or prohibited purposes, including money laundering, terrorist financing, or the violation of any applicable laws of Saint Vincent and the Grenadines or any other jurisdiction. The Company reserves the right to refuse, block, suspend, or terminate any account or transaction it reasonably suspects to be in breach of applicable AML/CFT laws or this Agreement.

5. Reporting Obligations

In accordance with applicable law, the Company is required to report any suspicious transactions or activities to the Financial Intelligence Unit (FIU) of Saint Vincent and the Grenadines, without prior notice to you. By entering into this Agreement, you acknowledge and agree that the Company is not liable for any disclosure made in good faith to comply with such obligations.

6. Record Keeping

The Company shall maintain all records, documents, and data related to Client identification, verification, and transactions for the minimum period required under the laws of Saint Vincent and the Grenadines. Such records may be made available to the Financial Intelligence Unit or other competent authorities upon request.

7. Right to Refuse Service

The Company reserves the right to decline, suspend, or terminate its relationship with any Client where the Client fails to provide the required documentation, engages in suspicious activity, or where the Company reasonably believes the Client poses a risk of money laundering, terrorist financing, or other financial crime. Suspicious transactions will be reported to the Financial Intelligence Unit of Saint Vincent and the Grenadines as required by law.

By opening an account with RS Finance Limited (Company No. 27122 BC 2024), you agree to cooperate with our AML/CFT procedures and confirm that you will not use our services for any unlawful or prohibited purposes. These measures are designed to protect both our clients and the Company, ensuring a safe and transparent trading environment for all.